SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] NEEL BRIAN					2. Issuer Name and Ticker or Trading Symbol <u>MARAVAI LIFESCIENCES HOLDINGS</u> , <u>INC.</u> [MRVI]							nship of Reporting F I applicable) Director	erson(s) to Issuer 10% Owner Other (specify		
(Last) C/O MARAVAI I	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2022							x	Officer (give title below) See R	specity					
10770 WATERIDGE CIRCLE, SUITE 200				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. In	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) SAN DIEGO	СА	92121										Form filed by One F Form filed by More		ng Person	
(City)	(State)	(Zip)													
		Table I - No	n-Deriv	vative S	ecurities Acq	uired,	Disp	osed of, or	Benefi	cially C	Dwne	ed			
Date			Date	nsaction 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a			S B F T	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock	04/30/2021		Α	V ⁽¹⁾	286	А	\$22.95	286	D		
Class A Common Stock	10/31/2021		Α	V ⁽¹⁾	598	Α	\$22.95	884	D		
Class A Common Stock	01/25/2022		Α		17,000	A	(2)	17,884	D		
Table II. Derivative Securities Assumed Dispessed of an Densities IV. Owned											

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.	y., puis, cai	is, warrants,	options, convertible	e securities)	
		1	1		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative	Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Employee Stock Option (Right to Buy)	\$26.32	01/25/2022		Α		31,500		(3)	01/25/2032	Class A Common Stock	31,500	\$0.00	31,500	D	

Explanation of Responses:

1. The Reporting Person is voluntarily reporting the acquisition of shares of the Issuer's Class A Common Stock pursuant to the Maravai LifeSciences Holdings, Inc. 2020 Employee Stock Purchase Plan. The acquisition of those 884 shares of Class A Common Stock was also exempt pursuant to Rule 16b-3(c).

2. Represents restricted stock units ("RSUs") awarded under the Maravai LifeSciences Holdings, Inc. 2020 Omnibus Incentive Plan. Each RSU represents the right to receive one share of Class A Common Stock of the Issuer upon vesting, subject to the Reporting Person's continued employment with the Issuer. The RSUs will vest in 1/3rd increments on each of February 15, 2023, February 15, 2024, and February 15, 2025, subject to the Reporting Person's continued employment with the Issuer.

3. Represents options awarded under the Maravai LifeSciences Holdings, Inc. 2020 Omnibus Incentive Plan. These options will vest 1/48th on March 15, 2022 and in equal 1/48th installments each month thereafter, subject to the Reporting Person's continued employment with the Issuer.

Remarks:

Chief Operating Officer, Nucleic Acid Production

/s/ Kurt Oreshack, by power of attorney for Brian Neel ** Signature of Reporting Person

01/27/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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